



**NOVA**  
**IRON & STEEL LTD.**

CIN : L02710CT1989PLC010052

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**SPEED POST/BY HAND/BY EMAIL**

Ref: NISL/Listing/2016-17

Dated: 17.01.2017

The Secretary,  
The Bombay Stock Exchange,  
Phiroze Jeejeebhoy Towers,  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai-400001

**Subject: Shareholding Pattern for quarter ended 31<sup>st</sup> December 2016  
Under Regulation 31(1)(b) of SEBI (LODR) Regulations, 2015**

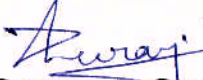
Dear Sir,

In compliance with Regulation 31(1)(b) of SEBI (Listing obligation and disclosures requirements) Regulations, 2015 we are furnishing the Shareholding Pattern for the quarter ended 31<sup>st</sup> December, 2016 on prescribed format and annexed herewith (Annexure I).

Kindly acknowledge the receipt.

Yours faithfully.

For **Nova Iron & Steel Ltd**

  
**Company Secretary**

Encl: As above

## Format of holding of specified securities

1. Name of Listed Entity: **Nova Iron & Steel Limited**
2. Scrip Code/Name of Scrip/Class of Security: **513566/Nova Iron & Steel Ltd/Equity**
3. Share Holding Pattern Filed under: **Reg. 31(1)(b)**
  - a. If under 31(1)(b) then indicate the report for Quarter ending: **31st December 2016**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?		No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3. Whether the Listed Entity has any shares against which depository receipts are issued?		No
4. Whether the Listed Entity has any shares in locked-in?		No
5. Whether any shares held by promoters are pledge or otherwise encumbered?		No

\*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of share holders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form
								Class eg: x	Class eg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)-(ix)	(xii)	(xiii)	(xiv)		
(A)	Promoter & Promoter Group	2	25228821	0	0	25228821	69.81	25228821	-	69.81	-	69.81	0	0	0	0.00	25228821
(B)	Public	160543	10910667	0	0	10910667	30.19	10910667	-	30.19	-	30.19	0	0	0	NA	4533793
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	-	-	-	-	-	0	0	0	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	-	-	-	-	-	0	0	0	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	-	-	-	-	-	0	0	0	NA	0
	Total	160545	36139488			36139488	100.00	36139488	-	100	-	100	0	0	0	0.00	29762614





**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	% of total Shares held (b)	No. (a)		As a % of total shares held (b)	
								Class X	Class Y	Total									
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)	(xiii)		(xiv)			
(1) Indian																			
(a) Individual/Hindu Undivided Family		0	0	0		0	0.00												0
(b) Central Government/State Government(s)		0	0	0		0	0.00												0
(c) Financial Institutions/Banks		0	0	0		0	0.00												0
(d) Any Other (Specify)		2	25228821			25228821	69.81				69.81								25228821
IM/s Bhushan Power & Steel Ltd.	AAACB9760D		14269146			14269146	39.48				39.48								14269146
IM/s Ambey Steel and Power Pvt. Ltd.	AAGCA9498M		10959675			10959675	30.33				30.33								10959675
Sub Total (A)(1)		2	25228821			25228821	69.81				69.81								25228821
(2) Foreign																			
(a) Individual(Non Resident Individual/Foreign Individual)		0	0	0		0	0.00												0
(b) Government		0	0	0		0	0.00												0
(c) Institutions		0	0	0		0	0.00												0
(d) Foreign Portfolio Investor		0	0	0		0	0.00												0
(f) Any Other (Specify)		0	0	0		0	0.00												0
Sub Total (A)(2)		0	0	0		0	0.00												0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	25228821			25228821	69.81				69.81								25228821

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								Total as a % of Total Voting rights					No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
								Class X	Class Y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)		
(1) Institutions																	
(a) Mutual Fund/ Venture Capital Funds		10	501336	-	-	501336	1.39	501336	1.39	-	-	1.39	0	0.00	NA	NA	3600
(b) Venture Capital Funds		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(c) Alternate Investment Funds		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(d) Foreign Venture Capital Investor		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(e) Foreign Portfolio Investors		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(f) Financial Institutions/Banks		3	144	-	-	144	0.00	144	0.00	-	-	0.00	0	0.00	NA	NA	0
(g) Insurance Companies		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(h) Provident Fund/Pensions Funds		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(i) Any Other (Specify)		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
Sub Total (B)(1)		13	501480	-	-	501480	1.39	501480	1.39	-	-	1.39	0	0.00	NA	NA	3600
(2) Central Government(s)/State Government/President of India		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
Sub Total (B)(2)		0	0	-	-	0	0.00	0	0.00	-	-	0.00	0	0.00	NA	NA	0
(3) Non-institutions																	
(a) Individuals i. Individual Shareholders holding Nominal Share Capital Up to Rs. 2 Lakhs		159365	7828280	-	-	7828280	21.66	7828280	21.66	-	-	21.66	0	0.00	NA	NA	2160942
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		10	420357	-	-	420357	1.16	420357	1.16	-	-	1.16	0	0.00	NA	NA	376245
(b) NBFCs Registered with RBI		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(c) Employee Trusts		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(d) Overseas Depositories (Holding DRs) (Balancing Figure)		0	0	-	-	0	0.00	-	-	-	-	0	0	0.00	NA	NA	0
(e) Any Other (Specify)		1155	2160550	-	-	2160550	5.98	2160550	5.98	-	-	5.98	0	0.00	NA	NA	1993006
Bodies Corporate		271	1989308	-	-	1989308	5.50	1989308	5.50	-	-	5.50	0	0.00	NA	NA	1968428
NRI		884	171242	-	-	171242	0.47	171242	0.47	-	-	0.47	0	0.00	NA	NA	24578
Krishna Securities Limited	AAACK6669C		1455740	-	-	1455740	4.03	1455740	4.03	-	-	4.03	0	0.00	NA	NA	1455740
Sub Total (B)(3)		160530	10409187	-	-	10409187	28.80	10409187	28.80	-	-	28.80	0	0.00	NA	NA	4530193
Total Public Shareholding (B) = (B)(1)+(B)(2) + B (3)		160543	10910667	-	-	10910667	30.19	10910667	30.19	-	-	30.19	0	0.00	NA	NA	4533793



Note:  
 Detail of the shareholders acting as persons in Concert including their Shareholding (No. and %) Nil  
 Detail of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.  
 (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to be disclosed along with the name of following persons:  
 institutions/Non Institutions holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)
								Class X	Class Y	Total			No. of Voting Rights	Total as a % of Total Voting rights	No.	As a % of total Shares held	
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)		
(1) Custodian/DR	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) Name of DR Holder (if Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(i) abc.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(ii) efg.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(2) Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a) Name (abc.....)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total Non Promoter Non Public Shareholding (C)= (C1)+(C)2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	

**Note:**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclosed name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

